

**Report on Non-General Fund Information**  
for Submittal to the 2020 Legislature

Department: DOH  
 Prog ID(s): HTH131DC  
 Name of Fund: Hawaii Immunization and Vaccines For Children Program  
 Legal Authority: PHS 317, 42 USC Sec 247(B)

Contact Name: Jade DeCosta  
 Phone: (808) 587-6592  
 Fund type (MOF) N  
 Appropriation Acct. No. S-200-H

Intended Purpose: Prevent and control the transmission of vaccine preventable diseases in persons of all ages; increase and maintain high immunization coverage.

Source of Revenues: Federal funds.

Current Program Activities/Allowable Expenses: Activities include vaccine coverage assessments, promotions of vaccinations, vaccine storage, and improving the distribution and inventory system.

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variances:

Financial Data							
	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	3,100,000	3,100,000	3,300,000	3,300,000	3,875,000	3,875,000	3,875,000
Beginning Cash Balance	81,611	34,849	57	689	0	175,000	250,000
Revenues	2,644,596	3,054,658	2,713,800	3,089,261	3,875,000	3,875,000	3,875,000
Expenditures	2,691,358	3,089,450	2,713,168	3,089,030	3,700,000	3,800,000	3,800,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	34,849	57	689	919	175,000	250,000	325,000
Encumbrances	398,818	212,743	95,405	182,796			
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2020 Legislature

Department: HTH  
 Prog ID(s): HTH 840 FG  
 Name of Fund: Nonpoint Source Management Program  
 Legal Authority: PL 92-500, federal Water Pollution Control Act;  
Clean Water Act Section 319

Contact Name: Nancy Bartter  
 Phone: 586-7567  
 Fund type (MOF) N  
 Appropriation Acct. No. S 201 H

Intended Purpose: Support State implementation of its nonpoint source management program developed under the Clean Water Act Section 319.

Source of Revenues: Federal grant funds from Environmental Protection Agency

Current Program Activities/Allowable Expenses: Development and implementation of watershed plans and total maximum daily loads, including projects and work to achieve restoration of nonpoint source impaired waters as well as implementation of Coastal Nonpoint Source program under Coastal Zone Act Reauthorization.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: Expenditures vary according to grant workplan. Encumbrances vary according to when contracts are encumbered.

Financial Data							
	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,326,000	1,729,650	1,258,950	2,145,675	1,287,300	1,361,665	1,361,665
Beginning Cash Balance	33,782	35,464	47,265	19,215	50,951	50,951	50,951
Revenues	1,611,539	1,384,695	1,657,683	1,212,591	1,287,300	1,361,665	1,361,665
Expenditures	1,615,041	1,372,894	1,228,153	1,180,855	1,287,300	1,361,665	1,361,665
Transfers	List each net transfer in/out; list each account number						
JM4518 dated 03/12/2018	5,183	0	(457,580)				
	0						
Net Total Transfers	5,183	0	(457,580)	0	0	0	0
Ending Cash Balance	35,464	47,265	19,215	50,951	50,951	50,951	50,951
Encumbrances	2,543,399	1,992,089	686,428	1,111,964	1,111,964	1,111,964	1,111,964
Unencumbered Cash Balance	NA	NA	NA	NA	NA	NA	NA

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2020 Legislature

Department: Health  
 Prog ID(s): HTH 420  
 Name of Fund: Community Mental Health Services Block Grant  
 Legal Authority: Section 334-7, HRS

Contact Name: Amy Yamaguchi  
 Phone: 586-4682  
 Fund type (MOF) N  
 Appropriation Acct. No. S 202 H

Intended Purpose: The purpose of this grant is to provide financial assistance for the State to carry out the State's plan for providing comprehensive community mental health services for adults with a serious mental illness.

Source of Revenues: Community Mental Health Services Block Grant

Current Program Activities/Allowable Expenses: Activities include the purchase of residential, treatment, and other support services for adults with serious mental illness.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: The variances are attributed to delays in the execution of purchase of service contracts.

Financial Data							
	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,332,230	1,332,230	1,332,230	1,067,581	2,033,370	2,033,370	2,033,370
Beginning Cash Balance	200	18,417	21	16,513	1	1	1
Revenues	1,398,800	976,437	1,148,293	411,016	2,033,370	2,033,370	2,033,370
Expenditures	1,380,583	994,833	1,131,801	427,529	2,033,370	2,033,370	2,033,370
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	18,417	21	16,513	1	1	1	1
Encumbrances	359,253	340,766	481,390	9,182			
Unencumbered Cash Balance	(340,836)	(340,745)	(464,877)	(9,181)	1	1	1

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2020 Legislature

Department: <u>HTH</u>	Contact Name: <u>Janelle Saucedo</u>
Prog ID(s): <u>HTH 440</u>	Phone: <u>692-7507</u>
Name of Fund: <u>Substance Abuse Prevention and Treatment (SAPT) Block Grant</u>	Fund type (MOF) <u>N</u>
Legal Authority: <u>Subparts II &amp; III, Part B, Title XIS, Public Health Service Act:</u>	Appropriation Acct. No. <u>S 203 H</u>
<u>Department of Health &amp; Human Services, Substance Abuse &amp; Mental Health Services Administration; SAPT Block Grant, Interim Final Rule (Title 45, Code of Federal Regulations, Part 96)</u>	

**Intended Purpose:**

Enables the State to plan, implement, and evaluate activities to treat and prevent substance abuse and other related authorized activities. The intent is to develop, maintain and improve the State's community-based substance abuse service system for the treatment and prevention of substance abuse. Also, the State must maintain compliance with a broad range of Federal statutory and regulatory provisions that govern expenditure and service requirements. Major SAPT Block Grant treatment requirements include the provision of substance abuse services for intravenous drug users, pregnant women and women with dependent children, and the provision of HIV early intervention services (subject to certain conditions) at substance abuse treatment sites. Not less than 20% of the SAPT Block Grant must be used to develop and implement a comprehensive prevention program of activities and services provided in a variety of settings for the general population as well as targeting sub-groups who are at high risk for substance abuse. Prevention activities include conducting annual random, unannounced inspections of retail outlets selling tobacco to minors, in compliance with the Synar Amendment and Tobacco Regulation for the SAPT Block Grant. The SAPT Block Grant is also used to ensure the provision of treatment and prevention services for Native Hawaiians

Source of Revenues: Substance Abuse and Mental Health Services Administration (SAMHSA)

**Current Program Activities/Allowable Expenses:**

The funding is used primarily for substance abuse prevention and treatment services through purchase of service contracts from the Request for Proposal, monitoring and contract utilization management, and complying with reporting requirement as stipulated in the SAPT Block Grant.

Purpose of Proposed Ceiling Adjustment (if applicable): Not Applicable.

Variances: Prior to FY14, S-203 was the parent account for all appropriated federal funds in HTH 440. As of FY14, per a new accounting methodology for federal awards, a unique appropriation has been assigned to each federal award. The new appropriation for the SAPT Block Grant is S-581-H and S-203-H will remain the parent appropriation. FY 17/18/19 variances are due to the S-581-H appropriation inadvertently not included on the Federal Funds report therefore not assigned to S-581-H.

Financial Data							
	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	8,212,595	0	0	0	8,583,536	8,583,536	8,583,536
Beginning Cash Balance	451,467	561,028	489,005	28,163	28,163	28,163	28,163
Revenues	6,562,388	1,581,050	0	0	8,583,536	8,583,536	8,583,536
Expenditures	6,452,827	1,653,073	3,771	0	8,583,536	8,583,536	8,583,536
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
			(457,071)				
Net Total Transfers	0	0	(457,071)	0	0	0	0
Ending Cash Balance	561,028	489,005	28,163	28,163	28,163	28,163	28,163
Encumbrances	1,411,129						
Unencumbered Cash Balance	(850,101)	489,005	28,163	28,163	28,163	28,163	28,163

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2020 Legislature

Department: HTH  
 Prog ID(s): HTH 460 **THIS IS A PARENT ACCOUNT ONLY, NO TRANSACTION**  
 Name of Fund: Synectics Behavioral Health Services Information System State Agreement  
 Legal Authority: Sec 505 of Public Health Service Act (42 U.S.C. 290aa=4)

Contact Name: Wakaba Stephens  
 Phone: 733-9866  
 Fund type (MOF) N  
 Appropriation Acct. No. S 204 H

Intended Purpose: To continue the development of Hawaii's capacity to collect and report mental health treatment services data.

Source of Revenues: Contract between Synectics for Management Decisions, Inc and SAMHSA

Current Program Activities/Allowable Expenses: Personnel cost of the Research & Evaluation Specialist position, travel for one person to attend a mainland training and WICHE membership fee.

Purpose of Proposed Ceiling Adjustment (if applicable): Not Applicable.

Variances: The fund was provided through AMHD from 2017 and was not allocated directly in CAMHD accounts.

Financial Data							
	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	315,609	0	0	0	0	0	0
Beginning Cash Balance	13,000	13,000	13,000	0	0	0	0
Revenues	0	0	0	0	0	0	0
Expenditures	74,682	0	13,000	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JS 1244 dated 9/9/15	24,060						
JS 6982 dated 6/28/16	50,622						
Net Total Transfers	74,682	0	0	0	0	0	0
Ending Cash Balance	13,000	13,000	0	0	0	0	0
Encumbrances	17,180						
Unencumbered Cash Balance	(4,180)	13,000	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2020 Legislature

Department: <u>HEALTH</u>	Contact Name: <u>SUSAN KANOUR</u>
Prog ID(s): <u>HTH 560</u>	Phone: <u>586-8190</u>
Name of Fund: <u>SPECIAL SUPPLEMENTAL NUTRITION PROGRAM FOR WOMEN, INFANTS, &amp; CHILDREN (WIC)</u>	Fund type (MOF) <u>N</u>

  

Legal Authority: <u>Child Nutrition Act of 1966, as amended, Section 17, 42 U.S.C. 1786. Healthy, Hunger-Free Kids Act of 2010, Public Law 111-296, 7 U.S.C 1746.</u>	Appropriation Acct. No. <u>S 206 H</u>
---	--

Intended Purpose: This fund contains HTH 560 GI federal grant funds. The purpose is to provide WIC families with supplemental foods, nutrition education, breastfeeding support and referrals to other Agencies.

Source of Revenues: USDA/Food and Nutrition Service/Western Region Office

Current Program Activities/Allowable Expenses: Supplemental nutrition; nutrition education, breastfeeding support & referrals to WIC families.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: The variance in Appropriation Ceiling between FY 2018 and FY 2019 is due to a decrease in the actual award received in FY 2019.

Financial Data							
	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	35,703,564	35,703,564	35,703,564	28,465,220	28,465,220	28,465,220	30,000,000
Beginning Cash Balance	600,530	387,868	487,538	387,530	264,778	264,778	264,778
Revenues	31,850,984	26,185,612	27,023,274	27,647,549	28,465,220	28,465,220	28,465,220
Expenditures	32,063,646	26,085,942	27,123,282	27,770,300	28,465,220	28,465,220	28,465,220
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	387,868	487,538	387,530	264,778	264,778	264,778	264,778
Encumbrances				1,641,804			
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2020 Legislature

Department: HEALTH  
 Prog ID(s): HTH 100  
 Name of Fund: RYAN WHITE CARE ACT TITLE II  
 Legal Authority: Public Health Service Act, 42,  
as amended by P.L. 111-87.

Contact Name: Phillip Nguyen  
 Phone: 586-4581  
 Fund type (MOF) N  
 Appropriation Acct. No. S 207 H

Intended Purpose: To prevent and reduce the incidence of HIV/AIDS in the State of Hawaii

Source of Revenues: U.S Department of Health & Human Service/Health Resources and Services Administration

Current Program Activities/Allowable Expenses: Assistance in health care and support activities to those affected by HIV infection.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: Starting FY16, the grant has had significant increases in rebate funds compare to prior years and the rebate revenue has increased greatly in FY18 through FY20. We expect to have increased revenue and expenditure in FY20 with the estimated increase in rebate revenue.

<b>Financial Data</b>							
	FY2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	3,830,015	3,830,015	4,572,267	8,648,246	8,723,375	8,700,000	87,000,000
Beginning Cash Balance	1,010,428	1,970,546	1,041,384	1,718,524	1,809,372	3,378,707	3,878,707
Revenues	5,478,699	4,323,934	6,810,171	6,374,345	8,723,375	8,700,000	8,700,000
Expenditures	4,518,581	5,253,096	6,133,031	6,283,498	7,154,040	8,200,000	8,200,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	1,970,546	1,041,384	1,718,524	1,809,372	3,378,707	3,878,707	4,378,707
Encumbrances				1,056,572			
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2020 Legislature

Department: HTH  
 Prog ID(s): HTH131DB  
 Name of Fund: Public Health Emergency Preparedness Cooperative Agree  
 Legal Authority: Sec 319C-1 of the Public Health Act, as amended

Contact Name: Judy K. Kern  
 Phone: (808) 587-6372  
 Fund type (MOF): N  
 Appropriation Acct. No. S-14-208 H and S-18-208H

Intended Purpose: To improve the readiness of state and local public health systems to reduce the threats to the community's health and safety and to save lives during emergencies that exceed the day-to-day capacity of the public health response systems. This is a coordinated effort including the healthcare industry, state and county emergency management, and various federal agencies.

Source of Revenues: Federal funds.

Current Program Activities/Allowable Expenses: Funds will be used to support activities including emergency planning for all hazards, training and tabletop exercises, public information and education, epidemiological investigation, laboratory testing, etc.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: Grant coordinated under HTH907AK effective FY20. For S-18-208, the initial NTE was FY19, however a no cost extension was granted thru FY20.

Financial Data							
	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	7,824,118	7,824,118	31,824,118	7,824,118			
Beginning Cash Balance	330,141	329,323	183,060	185,159	184,502		
Revenues	6,295,028	6,115,594	6,211,214	5,981,977			
Expenditures	6,295,846	6,261,857	6,209,114	5,982,634			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	329,323	183,060	185,159	184,502	184,502	0	0
Encumbrances			437,875	635,073			
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							



**Report on Non-General Fund Information**  
for Submittal to the 2020 Legislature

Department: HTH  
 Prog ID(s): HTH 840 FH  
 Name of Fund: Water Audit Sub-award to DLNR/CWRM  
 Legal Authority Safe Drinking Water Act: Sec. 1452; Act 169, SLH 2016; Request to Expend Non-Appropriated Federal Funds--Governor approved 5/16/17

Contact Name: Nancy Barter  
 Phone: 586-7567  
 Fund type (MOF) N  
 Appropriation Acct. No. S 209 H (see also S 620 H)

Intended Purpose: Support the implementation of Act 169, SLH 2016, which authorizes and requires the Commission on Water Resource Management to establish a water audit program to provide technical assistance to public water systems to conduct standardized water audits. Reduce the volume of water loss.

Source of Revenues: Federal grant funds from Environmental Protection Agency; sub-award from Safe Drinking Water State Revolving Fund capitalization grant, which is MOF W.

Current Program Activities/Allowable Expenses: Activities to establish a program to implement standardized water audits of public water systems in accordance with the method adopted by the American Water Works Association's Water Audits and Loss Control Programs, Manual of Water Supply Practices - M36, as amended.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: Non-appropriated. Fund was established as MOF N in FY 17, but was changed to MOF P for FY 18 and FY 19.

Financial Data							
	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	250,000	0	0	0	0
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	0	0	0	0	0	0	0
Expenditures	0	0	0	0	0	0	0
Transfers							
List each net transfer in/out; list each account number							
JS556/635/1476/2240/3044/37173859/4402	0	0	200,000	0	0	0	0
	0	0					
JS556/635/1476/2240/3044/37173859/4402			(200,000)				
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2020 Legislature

Department: HTH  
 Prog ID(s): HTH 905AH  
 Name of Fund: Developmental Disabilities Council  
 Legal Authority: P.L. 106-402 and Chapter 333E, HRS

Contact Name: Daintry Bartoldus  
 Phone: 586-8100  
 Fund type (MOF) N  
 Appropriation Acct. No. S 210 H

Intended Purpose: Federally funded program that supports the State Council on Developmental Disabilities (DD) to engage in advocacy, capacity building and systemic change activities that contribute to a coordinated, consumer-and family-centered, consumer-and family-directed, comprehensive system of community services, individualized supports, and other forms of assistance that enable individuals with DD to live self-determined lives, be independent, productive, and integrated and included in all facets of community life.

Source of Revenues: Federal U.S. Department of Health and Human Services, Administration on Community Living DD Assistance Bill of Rights Act of 2000 (P.L. 106-402)

Current Program Activities/Allowable Expenses: Funds are used to carry out the DD Council's Five-Year State Plan activities in the areas of community supports; transition and employment; public awareness, education and training; health and children and youth; and self-advocacy and self-determination. The Council has two years to obligate its funds and three years to liquidate (expend) those funds.

Purpose of Proposed Ceiling Adjustment (if applicable): The Council received an increase in their Federal allotment in FY 2019, and they are anticipating increases with the new Federal Grant Awards for FY 2020 and FY 2021.

Variances:

The variance for revenues in FY 2018 is due to that the Council received an increase in their allotment for FY 2018.

<b>Financial Data</b>							
	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	533,855	553,768	528,666	498,981	514,000	521,000	521,000
Beginning Cash Balance	17,404	16,788	50	8,633	1,317	1,317	1,317
Revenues	468,784	426,875	481,391	489,036	507,546	507,546	507,546
Expenditures	469,400	443,613	472,809	496,353	507,546	507,546	507,546
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	16,788	50	8,632	1,317	1,317	1,317	1,317
Encumbrances	200	0	7,348	6,958	83,142	83,142	83,142
Unencumbered Cash Balance	16,588	50	1,284	(5,641)	(81,825)	(81,825)	(81,825)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Non-General Fund Information**  
for Submittal to the 2020 Legislature

Department: <u>HTH</u>	Contact Name: <u>Janelle Saucedo</u>
Prog ID(s): <u>HTH 440</u>	Phone: <u>692-7507</u>
Name of Fund: <u>Substance Abuse Prevention and Treatment (SAPT) Block Grant</u>	Fund type (MOF) <u>N</u>
Legal Authority: <u>Subparts II &amp; III, Part B, Title XIS, Public Health Service Act:</u>	Appropriation Acct. No. <u>S-19-211</u>
<u>Department of Health &amp; Human Services, Substance Abuse &amp; Mental Health Services Administration; SAPT Block Grant, Interim Final Rule (Title 45, Code of Federal Regulations, Part 96)</u>	

Intended Purpose: Enables the State to plan, implement, and evaluate activities to treat and prevent substance abuse and other related authorized activities. The intent is to develop, maintain and improve the State's community-based substance abuse service system for the treatment and prevention of substance abuse. Also, the State must maintain compliance with a broad range of Federal statutory and regulatory provisions that govern expenditure and service requirements. Major SAPT Block Grant treatment requirements include the provision of substance abuse services for intravenous drug users, pregnant women and women with dependent children, and the provision of HIV early intervention services (subject to certain conditions) at substance abuse treatment sites. Not less than 20% of the SAPT Block Grant must be used to develop and implement a comprehensive prevention program of activities and services provided in a variety of settings for the general population as well as targeting sub-groups who are at high risk for substance abuse. Prevention activities include conducting annual random, unannounced inspections of retail outlets selling tobacco to minors, in compliance with the Synar Amendment and Tobacco Regulation for the SAPT Block Grant. The SAPT Block Grant is also used to ensure the provision of treatment and prevention services for Native Hawaiians pursuant to Block Grant requirements for Hawaii.

Source of Revenues: Substance Abuse and Mental Health Services Administration (SAMHSA)

Current Program Activities/Allowable Expenses: The funding is used primarily for substance abuse prevention and treatment services through purchase of service contracts from the Request for Proposal, monitoring and contract utilization management, and complying with reporting requirement as stipulated in the SAPT Block Grant.

Purpose of Proposed Ceiling Adjustment (if applicable): Not Applicable.

Variances: FY 18/19/20 variances are due to appropriation inadvertently not included on the Form FF therefore not assigned to S-18-581-H for FY18 award. E-2 was approved on 8/14/18 and as a result, S-19-211 was assigned.

Financial Data							
	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	8,848,122	0	0	0
Beginning Cash Balance	0	0	0	0	106,344	106,344	106,344
Revenues	0	0	0	6,223,271	0	0	0
Expenditures	0	0	0	6,116,927	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	106,344	106,344	106,344	106,344
Encumbrances				1,376,584			
Unencumbered Cash Balance	0	0	0	(1,270,240)	106,344	106,344	106,344

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2020 Legislature

Department: Health  
 Prog ID(s): HTH 420  
 Name of Fund: PATH Formula Grant  
 Legal Authority: Section 334-7, HRS

Contact Name: Amy Yamaguchi  
 Phone: 586-4682  
 Fund type (MOF) N  
 Appropriation Acct. No. S 212 H

Intended Purpose: The purpose of the grant is to provide additional needed resources for the provision of comprehensive mental health services for individuals with severe and persistent mental illness.

Source of Revenues: PATH Formula Grant

Current Program Activities/Allowable Expenses: Activities include the purchase of homeless outreach services for individuals with severe and persistent mental illness.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: The variances are attributed to delays in the execution of purchase of service contracts.

Financial Data							
	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	300,000	300,000	300,000	300,000	300,000	300,000	300,000
Beginning Cash Balance	0	0	1	42	(1)	(1)	(1)
Revenues	11,333	79,189	227,743	265,115	300,000	300,000	300,000
Expenditures	11,333	79,188	227,702	265,157	300,000	300,000	300,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	1	42	(1)	(1)	(1)	(1)
Encumbrances	130,183	41,271	51,654	148,053			
Unencumbered Cash Balance	(130,183)	(41,270)	(51,612)	(148,053)	(1)	(1)	(1)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2020 Legislature

Department: HTH  
 Prog ID(s): HTH 440  
 Name of Fund: Substance Abuse Prevention and Treatment (SAPT) Block Grant  
 Legal Authority: Subparts II & III, Part B, Title XIS, Public Health Service Act:  
Department of Health & Human Services, Substance Abuse & Mental Health Services Administration; SAPT Block Grant,  
Interim Final Rule (Title 45, Code of Federal Regulations, Part 96)

Contact Name: Janelle Saucedo  
 Phone: 692-7507  
 Fund type (MOF) N  
 Appropriation Acct. No. S-19-213 H

**Intended Purpose:**

Enables the State to plan, implement, and evaluate activities to treat and prevent substance abuse and other related authorized activities. The intent is to develop, maintain and improve the State's community-based substance abuse service system for the treatment and prevention of substance abuse. Also, the State must maintain compliance with a broad range of Federal statutory and regulatory provisions that govern expenditure and service requirements. Major SAPT Block Grant treatment requirements include the provision of substance abuse services for intravenous drug users, pregnant women and women with dependent children, and the provision of HIV early intervention services (subject to certain conditions) at substance abuse treatment sites. Not less than 20% of the SAPT Block Grant must be used to develop and implement a comprehensive prevention program of activities and services provided in a variety of settings for the general population as well as targeting sub-groups who are at high risk for substance abuse. Prevention activities include conducting annual random, unannounced inspections of retail outlets selling tobacco to minors, in compliance with the Synar Amendment and Tobacco Regulation for the SAPT Block Grant. The SAPT Block Grant is also used to ensure the provision of treatment and prevention services for Native Hawaiians pursuant to Source of Revenues: Substance Abuse and Mental Health Services Administration (SAMHSA)

**Current Program Activities/Allowable Expenses:**

The funding is used primarily for substance abuse prevention and treatment services through purchase of service contracts from the Request for Proposal, monitoring and contract utilization management, and complying with reporting requirement as stipulated in the SAPT Block Grant.

Purpose of Proposed Ceiling Adjustment (if applicable): Not Applicable.

Variances: Variance is due to appropriation S-16-581 not extended to allow this award's indirect cost deposit. As a result a new appropriation S-19-213H was established for the indirect cost.

<b>Financial Data</b>							
	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	0	0	0	26,470	0	0	0
Expenditures	0	0	0	26,470	0	0	0
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0	0			
Unencumbered Cash Balance	0	0	0	0	0	0	0

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2020 Legislature

Department: HTH  
 Prog ID(s): HTH 460  
 Name of Fund: Block Grants for Community Mental Health Services  
 Legal Authority: Subparts I&III, B, Title XIX, PHS Act 45 CFR Part 96

Contact Name: Wakaba Stephens  
 Phone: 733-9866  
 Fund type (MOF) N  
 Appropriation Acct. No. S 214 H

Intended Purpose: To provide needed resources for the provision of comprehensive mental health services for individuals with severe and persistent mental illness.

Source of Revenues: Federally funded grant from SAMHSA.

Current Program Activities/Allowable Expenses: Providing mental health services and promoting programs for public awareness on mental health

Purpose of Proposed Ceiling Adjustment (if applicable): Not Applicable.

**Variances:**

FY 2017-The revenues and expenditures increased due to the grant being assigned back to S214 from S259 in FY 2017.

FY 2018-The revenues and expenditures increased due to the increase in the award amount in FY 2018.

FY 2019-The revenues and expenditures increased due to the increase in the award amount in FY 2019.

FY 2020-The revenues and expenditures are estimated to increase due to the increase in the activities in FY 2020.

<b>Financial Data</b>							
	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	475,499	969,261	1,580,536	1,809,630	2,329,630	2,329,630	2,329,630
Beginning Cash Balance	86	0	9,176	90	(0)	(0)	(0)
Revenues	315,778	803,490	1,399,332	1,547,738	1,764,762	1,764,762	1,764,762
Expenditures	315,864	794,314	1,408,418	1,547,828	1,764,762	1,764,762	1,764,762
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	9,176	90	(0)	(0)	(0)	(0)
Encumbrances	30	112,580	342,506	398,606			
Unencumbered Cash Balance	(30)	(103,404)	(342,416)	(398,606)	(0)	(0)	(0)

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2020 Legislature

Department: HTH  
 Prog ID(s): HTH 907  
 Name of Fund: Various Federal Grants from the US DHHS/HRSA/PHS  
 Legal Authority: Act 164, SLH 2011, as amended by ACT, 106, SLH 2012  
Public Health Service Act, various sections, as amended

Contact Name: Betty Wood  
 Phone: 586-4530  
 Fund type (MOF) P  
 Appropriation Acct. No. S 215

**Intended Purpose:**

One time only for PHHBG Proj #035203 - Admin Indirect Cost

**Source of Revenues:**

US Department of Health and Human Services and Public Health Service. Federal Award ID: NB01OT009110-01-01. Appropriation S 19 215 035203 for one time only to cover Project #035203 Indirect Cost

**Current Program Activities/Allowable Expenses:**

10/19/2018 approved by Fed to use FY 16 PHHBG award for Project #035203 Indirect Cost.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

Financial Data							
	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				21,882	0	0	0
Beginning Cash Balance				0	0	0	0
Revenues				21,882	0	0	0
Expenditures				21,882	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2020 Legislature

Department: HEALTH  
 Prog ID(s): HTH 560  
 Name of Fund: State Office of Rural Health (SORH)  
 Legal Authority: PHS Act, Title III, Section 338J

Contact Name: William L. Aakhus  
 Phone: 586-9305  
 Fund type (MOF) N  
 Appropriation Acct. No. S 218 H (S 523 H FYs 16 & 17)

Intended Purpose: The grant program coordinates and implements activities to support priority health needs of Hawaii's rural communities.

Source of Revenues: US Department of Health and Human Services, Health Resources and Services Administration, Office of Rural Health Policy.

Current Program Activities/Allowable Expenses: The program allocates its resources towards the implementation of the following goals: (1) collect and disseminate information; (2) coordinate rural health care activities in state in order to avoid duplication; and (3) provide technical assistance to public and non-profit private entities.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: This grant's prior UAC was S 523 H until FY 2017 when it became S 218 H.

<b>Financial Data</b>							
	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	200,000	200,000	200,000	200,000	200,000	200,000	200,000
Beginning Cash Balance	23,978	4,171	35	46	43	43	43
Revenues	147,011	185,653	168,995	171,986	200,000	200,000	200,000
Expenditures	166,818	189,789	168,984	171,988	200,000	200,000	200,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	4,171	35	46	43	43	43	43
Encumbrances	15,165	1,002	246	0			
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							



**Report on Non-General Fund Information**  
for Submittal to the 2020 Legislature

Department: HTH  
 Prog ID(s): HTH907AK  
 Name of Fund: Public Health Emergency Preparedness Cooperative Agree  
 Legal Authority: Sec 319C-1 of the Public Health Act, as amended

Contact Name: Judy K. Kern  
 Phone: (808) 587-6372  
 Fund type (MOF): N  
 Appropriation Acct. No.: S-20-220 H

Intended Purpose: To improve the readiness of state and local public health systems to reduce the threats to the community's health and safety and to save lives during emergencies that exceed the day-to-day capacity of the public health response systems. This is a coordinated effort including the healthcare industry, state and county emergency management, and various federal agencies.

Source of Revenues: Federal funds.

Current Program Activities/Allowable Expenses: Funds will be used to support activities including emergency planning for all hazards, training and tabletop exercises, public information and education, epidemiological investigation, laboratory testing, etc.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: Grant funds were allocated to SLD and DOCD in FY2020 and administered separately.

Financial Data							
	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling					3,586,168	3,586,168	3,586,168
Beginning Cash Balance						0	0
Revenues				0	3,586,168	3,586,168	3,580,000
Expenditures				0	3,586,168	3,586,168	3,580,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2020 Legislature

Department: Health  
 Prog ID(s): HTH 904-AJ  
 Name of Fund: Title III Older American's Act  
 Legal Authority: Older American's Act PL 114-144

Contact Name: S.Chun  
 Phone: 6-7323  
 Fund type (MOF) N  
 Appropriation Acct. No. S 221 H

Intended Purpose: Provide advocacy, program development, and a coordinated system of opportunity and services for adults 60+

Source of Revenues: Administration on Community Living

Current Program Activities/Allowable Expenses: Advocate resources for older adults and caregivers; plan and implement Older American's Act; develop and coordinate comprehensive in-community-based services.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: Increase in grant funding in FY 2018

Financial Data							
	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	13,268,894	13,533,312	14,351,901	13,683,337	13,683,337	13,683,337	13,368,337
Beginning Cash Balance	209,151	82,780	72,962	404,619	173,238	1	1
Revenues	6,045,549	5,583,040	6,598,391	5,243,523	7,331,874	7,505,111	7,505,111
Expenditures	6,171,920	5,592,858	6,266,734	5,474,904	7,505,111	7,505,111	7,505,111
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	82,780	72,962	404,619	173,238	1	1	1
Encumbrances			6,390,924	7,505,111			
Unencumbered Cash Balance	82,780	72,962	(5,986,305)	(7,331,873)	1	1	1

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2020 Legislature

Department: HTH  
 Prog ID(s): HTH 590  
 Name of Fund: PPHS Block Grant  
 Legal Authority: Preventive Health and Health Services Block Grant

Contact Name: Sayuri Sugimoto  
 Phone: 586-4486  
 Fund type (MOF) P  
 Appropriation Acct. No. S 225 H

Intended Purpose: Alleviate or eliminate barriers to accessing healthcare for immigrants. Integrate data between chronic disease programs.

Source of Revenues: Preventive Health and Health Services Block Grant.

Current Program Activities/Allowable Expenses: Assist newly arrived immigrants to access health services in their native languages.  
Integrate data between chronic disease programs.

Purpose of Proposed Ceiling Adjustment (if applicable): None

Variances: Received the same amount of funds for FY18 and FY19. Recruited more Easy Access contracted workers in FY19.

Financial Data							
	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	165,000	165,000	165,000	195,000	195,000	195,000	195,000
Beginning Cash Balance	67,251	63,578	(0)	894	(0)	(0)	(0)
Revenues	48,889	0	91,210	102,878	190,000	190,000	190,000
Expenditures	52,562	63,578	90,316	103,772	190,000	190,000	190,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	63,578	(0)	894	(0)	(0)	(0)	(0)
Encumbrances	0	0	0	25,084			
Unencumbered Cash Balance	63,578	(0)	894	(25,084)	(0)	(0)	(0)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2020 Legislature

Department: Health  
 Prog ID(s): HTH 760  
 Name of Fund: Vital Statistics Cooperative Program  
 Legal Authority: Act 134-2013

Contact Name: Alvin T. Onaka, Ph.D.  
 Phone: (808) 586-4600  
 Fund type (MOF) P  
 Appropriation Acct. No. S-226-H

Intended Purpose: Support the collection and delivery of vital statistics information

Source of Revenues: Contracts with the US Government for vital statistics information

Current Program Activities/Allowable Expenses: Contracts with the Centers for Disease Control and Prevention (CDC) and Social Security Administration of the Vital Statistics Cooperative Program's.

Purpose of Proposed Ceiling Adjustment (if applicable): To match anticipated federal funds amount.

Variances: Revenues can fluctuate for additional competitive award added to the base contract amount. FY16 to FY17 variance due to maintenance costs. FY17 to FY18 variance due to personnel costs. FY18 to FY19 & FY20 variance due to personnel costs.

Financial Data							
	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	442,000	432,300	432,300	342,300	342,300	342,300	342,300
Beginning Cash Balance	473,071	748,965	1,070,275	1,162,022	1,310,736	1,310,736	1,310,736
Revenues	452,424	560,465	429,715	390,986	342,300	342,300	342,300
Expenditures	176,531	239,155	337,968	242,272	342,300	342,300	342,300
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	748,965	1,070,275	1,162,022	1,310,736	1,310,736	1,310,736	1,310,736
Encumbrances	12,000	103,950	473	16,199			
Unencumbered Cash Balance	736,965	966,325	1,161,548	1,294,537	1,310,736	1,310,736	1,310,736

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2020 Legislature

Department: HEALTH  
 Prog ID(s): HTH 560  
 Name of Fund: Maternal and Child Health Services Block Grant  
 Legal Authority: Title V of the Social Security Act, 45 CFR 96

Contact Name: William L. Aakhus  
 Phone: 586-9305  
 Fund type (MOF) N  
 Appropriation Acct. No. S 229 H

Intended Purpose: To assure women, especially mothers and children including children with special health needs (CSHN) and in particular those with low income or with limited availability of health services, access to quality maternal and child health services. This includes improving birth outcomes for women, improved health outcomes and health status for children of all ages including CSHN and supporting administrative activities that maintain and improve the health care system through needs assessment, surveillance, planning, policy development, systems building, and program support.

Source of Revenues: DHHS, HRSA

Current Program Activities/Allowable Expenses: a) Administration - Supports administrative positions, which provide the infrastructure for the Division, Maternal and Child Health (MCH) and CSHN Branches to carry out its mandate and functions, and allow for integration and planning between sections, Branches, the Department, and the community at-large; b) Epidemiology and Data Support - provide funds to CDC-assigned epidemiologist and research statistician positions at the MCH and CSHN Branches for data collection and analysis used for program planning, evaluation, and policy development; c) Child Health and Family Support Services - provides funds to this section, which is responsible for planning for issues related to child/adolescent health and family strengthening services to assist in the assurance of these services statewide, including program planning and technical support to purchase of service contractors. Needs assessments, planning and development activities are accomplished through surveys, studies, and community networking. Collaborates with providers and community stakeholders to assure a system of services and established standards of care; and d) Perinatal Services-fund use include: 1) Program planning and technical support statewide to POS contractors and programs, which reduce maternal and infant mortality and morbidity; and on Hawaii Island, provide staffing to support high-risk women and children; 2) Provides advocacy and educational efforts to improve and enhance birth outcomes, disseminates perinatal information, and promotes networking among providers, agencies, and individuals associated with perinatal issues; and 3) Maintains a statewide information and referral phone line and website to assist pregnant women to access the perinatal services system (including Medicaid, primary care centers, OB/GYN, prenatal classes) and to encourage early and continuous prenatal care.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: The Appropriation Ceiling variance between FY 2018 and FY 2019 is to reflect actual award levels.

Financial Data							
	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,829,180	2,829,180	2,829,180	2,500,000	2,500,000	2,500,000	2,500,000
Beginning Cash Balance	76,057	93,037	1,327	2	5	100,005	200,005
Revenues	1,823,594	1,793,075	1,733,693	1,915,440	2,000,000	2,000,000	2,000,000
Expenditures	1,806,614	1,884,785	1,735,017	1,915,437	1,900,000	1,900,000	1,900,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	93,037	1,327	2	5	100,005	200,005	300,005
Encumbrances				22,751			
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2020 Legislature

Department: HTH  
 Prog ID(s): HTH 849  
 Name of Fund: Various EPA Grants  
 Legal Authority: Various

Contact Name: Nancy Bartter  
 Phone: 586-7567  
 Fund type (MOF) N  
 Appropriation Acct. No. S 236 H

Intended Purpose: Fund split-funded positions in HTH 849 FA, FB, FC, and FD.

Source of Revenues: Federal grant funds from Environmental Protection Agency

Current Program Activities/Allowable Expenses: Personal services and other current expense costs in HTH 849 FA, FB, FC, and FD.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: All federal funds in HTH 849 were part of S 236 H prior to FY 2014. For FY15 and beyond, positions are directly funded from source grants, because new federal funds methodology impedes spending. Ceiling is included since positions are funded.

Financial Data							
	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	570,000	575,500	575,500	296,103	183,732	183,732	183,732
Beginning Cash Balance	31,181	25,192	25,192	(0)	(0)	(0)	(0)
Revenues	1,372	0	0	0	0	0	0
Expenditures	0	0	25,192	0	0	0	0
Transfers							
List each net transfer in/out; list each account number							
Various JVs	(7,361)	0	0	0	0	0	0
Net Total Transfers	(7,361)	0	0	0	0	0	0
Ending Cash Balance	25,192	25,192	(0)	(0)	(0)	(0)	(0)
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	NA	NA	NA	NA	NA	NA	NA

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Footnote:**

Prior to FY14, S-236 was the parent account for all appropriated federal funds in HTH 849. As of FY14, per a new accounting methodology for federal awards, a unique appropriation has been assigned to each federal award and MOF P has been added as a means of financing. Reference S-513, S-546, S-547, S-548, S-549.

**Report on Non-General Fund Information**  
for Submittal to the 2020 Legislature

Department: Health  
 Prog ID(s): HTH 904-AJ  
 Name of Fund: Senior Medicare Patrol Capacity Building Grant  
 Legal Authority: 42 USC 3031-3037-B

Contact Name: S.Chun  
 Phone: 6-7323  
 Fund type (MOF) P  
 Appropriation Acct. No. S 244 H

Intended Purpose: To expand the capacity of the Senior Medicare Patrol Project

Source of Revenues: Administration on Community Living

Current Program Activities/Allowable Expenses: None

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: Grant has ended

Financial Data							
	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	23,463						
Beginning Cash Balance	3,918	0	0	0	0	0	0
Revenues	18,786						
Expenditures	22,704						
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2020 Legislature

Department: HTH  
 Prog ID(s): HTH907AK  
 Name of Fund: Hospital Preparedness Program Cooperative Agree  
 Legal Authority: Sec 319C-2 of the Public Health Act, as amended

Contact Name: Judy K. Kern  
 Phone: (808) 587-6372  
 Fund type (MOF): N  
 Appropriation Acct. No.: S-20-246 H

Intended Purpose: Provide technical assistance and resources to support state and local healthcare organizations in attaining measurable and sustainable progress toward achieving public health and healthcare preparedness capabilities that promote prepared and resilient communities.

Source of Revenues: Federal funds.

Current Program Activities/Allowable Expenses: Funds will be used to support activities including emergency planning for all hazards, training and tabletop exercises, and educational programs.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: None.

Financial Data							
	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling					1,270,000	1,270,000	1,270,000
Beginning Cash Balance					0	0	0
Revenues					1,272,454	1,250,000	1,250,000
Expenditures					1,272,454	1,250,000	1,250,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							



**Report on Non-General Fund Information**  
for Submittal to the 2020 Legislature

Department: HTH  
 Prog ID(s): HTH 610 FR  
 Name of Fund: Air Pollution Control Program Support  
 Legal Authority: PL 95-95; Clean Air Act Sections 103 & 105

Contact Name: Nancy Bartter  
 Phone: 586-7567  
 Fund type (MOF) N  
 Appropriation Acct. No. S 255 H

Intended Purpose: Establish indoor air quality program; provide information and educational material to managers, owners, and occupants of publicly-owned buildings and assist managers and owner-occupants of publicly-owned buildings to identify, assess and correct indoor air pollution problems.

Source of Revenues: Federal grant funds from Environmental Protection Agency

Current Program Activities/Allowable Expenses: Plan and develop comprehensive indoor air quality program; public outreach and networking.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: Sub-award. New federal funds methodology impedes spending, so expenditures are coded directly to the prime grant award in HTH 840/FF, S-559-H.

Financial Data							
	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	152,000	158,000	163,149	176,575	158,000	158,000	158,000
Beginning Cash Balance	39,533	39,607	31,479	0	0	0	0
Revenues	21,050	238,219	46,034	0	0	0	0
Expenditures	20,976	246,346	77,513	0	0	0	0
Transfers							
List each net transfer in/out; list each account number							
	0						
Net Total Transfers	0						
Ending Cash Balance	39,607	31,480	0	0	0	0	0
Encumbrances	38	0	0	0	0	0	0
Unencumbered Cash Balance	NA	NA	NA	NA	NA	NA	NA

**Additional Information:**

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Footnote:** Prior to FY14, S-255 was the parent account for all appropriated federal funds in HTH 610. As of FY14, per a new accounting methodology for federal awards, a unique appropriation has been assigned to each federal award and MOF P has been added as a means of financing. Reference S-507 and S-536.

**Report on Non-General Fund Information**  
for Submittal to the 2020 Legislature

Department: Health  
 Prog ID(s): HTH 904-AJ  
 Name of Fund: Hawaii's Lifespan Respite  
 Legal Authority: PL 109-442

Contact Name: S.Chun  
 Phone: 6-7323  
 Fund type (MOF) P  
 Appropriation Acct. No. S 280 H

Intended Purpose: To assist family caregivers in accessing respite care.

Source of Revenues: Administration on Community Living

Current Program Activities/Allowable Expenses: None

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: Grant has ended

Financial Data							
	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0						
Beginning Cash Balance	3,348	0	0	0	0	0	0
Revenues	10,002						
Expenditures	13,350						
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2020 Legislature

**Department:** Health  
**Prog ID(s):** HTH 720  
**Name of Fund:** Upgrading Hawaii's Criminal Background Check System  
**Legal Authority** Act 071, SLH 2015

**Contact Name:** Utey Uch  
**Phone:** 692-7229  
**Fund type (MOF)** P  
**Appropriation Acct. No.** S 284 H

**Intended Purpose:**

To upgrade Hawaii's Criminal Background Check system and subsequently enhance the state's ability to meet its obligations under the Social Security Act, Section 1864, and U.S. Public Law 100-578 between the State of Hawaii, Department of Health (DOH) and the Centers for Medicare and Medicaid Services (CMS) by completing background checks for all parties wishing to provide services.

**Source of Revenues:**

The source of revenues are from Federal grant award 1A1CMS331099-01-05. The grant is for the period from December 17, 2012 to December 16, 2018.

**Current Program Activities/Allowable Expenses:**

Develop a "rap back" program within the Hawaii Criminal Justice Data Center (HCJDC). Rap back is the term used for a system designed to determine whether an individual who had previously obtained a clearance on a criminal history background check but subsequently was arrested or convicted would continue to qualify for employment in certain health care work positions.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

Grant ends on 12/16/2018; DOH/OHCA is a pass-through. DOH/OHCA provides reimbursements to HCJDC.

<b>Financial Data</b>							
	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	736,590	505,085	688,511	551,959	0	0	0
Beginning Cash Balance		0	0	0	0	0	0
Revenues	205,397	148,623	136,552	197,201	0	0	0
Expenditures	205,397	148,623	136,552	197,201	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2020 Legislature

Department: HEALTH  
 Prog ID(s): HTH 560  
 Name of Fund: Program for Infants and Toddlers with Handicaps  
 Legal Authority P.L. 108-446, Individuals with Disabilities Act, Part C

Contact Name: William L. Aakhus  
 Phone: 586-9305  
 Fund type (MOF) N  
 Appropriation Acct. No. S 292 H

Intended Purpose: To provide a statewide, comprehensive, multidisciplinary system of early intervention services for infants and toddlers with special needs (age 0 to 3) with the State Department of Health as the lead agency in Hawaii.

Source of Revenues: U.S. Department of Education, Office of Special Education Programs

Current Program Activities: These funds are currently supporting multiple activities within that system of services, including administrative personnel, psychological, nutritional, and other professional support; and other required federal activities.

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variances: The variance in revenues and expenditures between FY 2018 and FY 2019 are due to filling vacant Part C funded positions and collective bargaining.

<b>Financial Data</b>							
	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,771,557	2,771,557	2,771,557	2,600,000	2,600,000	2,600,000	2,500,000
Beginning Cash Balance	69,089	5	22	2,087	0	0	0
Revenues	1,835,717	2,453,078	1,996,095	2,229,888	2,400,000	2,500,000	2,500,000
Expenditures	1,904,801	2,453,061	1,994,030	2,231,975	2,400,000	2,500,000	2,500,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	5	22	2,087	0	0	0	0
Encumbrances	300,532	5,133	7,880	12,870	0	0	0
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2020 Legislature

Department: HEALTH  
Prog ID(s): HTH 560

Contact Name: SUSAN KANOUR  
Phone: 586-8190

Name of Fund: BREASTFEEDING PEER COUNSELING (WIC BFPC)

Fund type (MOF) N

Legal Authority Child Nutrition Act of 1966, as amended, Section 17, 42 U.S.C. 1786. Healthy, Hunger-Free Kids Act of 2010, Public Law 111-296, 7 U.S.C 1746.

Appropriation Acct. No. S 293 H and S 205 H for FY 20

Intended Purpose: The fund contains HTH 560 GI federal grant funds. The purpose is to provide breastfeeding peer counseling to WIC women.

Source of Revenues: USDA/Food and Nutrition Services/Western Region Office

Current Program Activities/Allowable Expenses: Breastfeeding peer counseling services to WIC women.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: The variance in revenues and expenditures from 2018-2019 is due to actual revenue (cash) draws during the year and actual expenditures incurred for payroll and contracted providers. FY 2020 using S 205 H. Will return to S 293 H from FY 2021.

Financial Data							
	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	382,144	382,144	382,144	230,000	230,000	230,000	320,000
Beginning Cash Balance	10,028	1,129	2,653	5,604	3,459	3,459	3,459
Revenues	251,897	288,779	248,804	311,082	319,043	319,043	319,043
Expenditures	260,796	287,255	245,852	313,227	319,043	319,043	319,043
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	1,129	2,653	5,604	3,459	3,459	3,459	3,459
Encumbrances	86,456	38,343	85,459	137,572	0	0	
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Note: For federal funds, although funds are encumbered, federal funds are not drawn down until just prior to payment processing (approximately three days prior to payment).							
Additional Information:							
Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2020 Legislature

Department: HEALTH  
 Prog ID(s): HTH 560  
 Name of Fund: Community Based Child Abuse Prevention (CBCAP) Grant (project: 000270)  
 Legal Authority: Title II of the Child Abuse Prevention and Treatment Act  
(72 U.S.C. 5116 et seq.), amended by P.L. 108-36

Contact Name: William L. Aakhus  
 Phone: 586-9305  
 Fund type (MOF) N  
 Appropriation Acct. No. S 294 H

Intended Purpose: To support community-based efforts to develop, operate, expand, enhance, and coordinate initiatives, programs, and activities to prevent child abuse and neglect and to support the coordination of resources and activities to better strengthen and support families to reduce the likelihood of child abuse and neglect; and to foster understanding, appreciation and knowledge of diverse populations in order to effectively prevent and treat child abuse and neglect.

Source of Revenues: DHHS, Administration on Children, Youth and Families

Current Program Activities/Allowable Expenses:

- A. Support planning, coordination, collaboration and implementation of child abuse and neglect (CAN) prevention and family strengthening events on all the islands.
- B. Determine the readiness of two communities to collaborate on the design and establishing of the Community Cafe model in their community.
- C. Support the planning, coordination, and implementation of statewide trainings (Adverse Childhood Experiences, Resilience, Toxic Stress), conferences and related program activities.
- D. Support the collection and analysis of data specific to and related to child abuse and neglect to serve as a foundation for the development of a statewide CAN prevention plan including children with special needs.
- E. Collaborate and partner with existing networks to build the capacity of parent's to advocate for their children and their community.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: The variance in Appropriation Ceiling from FY 2018 to FY 2019 is to reflect actual award levels. The variance between FY2018-19 Revenues and Expenditures is attributed to an increase in program activity generated through community partnerships and program related contracts.

Financial Data							
	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	801,520	801,520	801,520	500,000	500,000	500,000	500,000
Beginning Cash Balance	3,662	5,372	82	61	(0)	(0)	(0)
Revenues	344,928	250,564	190,701	446,655	454,196	454,196	454,196
Expenditures	343,218	255,854	190,722	446,717	454,196	454,196	454,196
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	5,372	82	61	(0)	(0)	(0)	(0)
Encumbrances	0	85,602	72,903	157,261	0	0	
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2020 Legislature

Department: HEALTH  
 Prog ID(s): HTH 560  
 Name of Fund: Family Planning Services Grant (project: 000239)  
 Legal Authority: Title X of the Public Health Service (PHS) Act, as amended; 42 CFR 59

Contact Name: William L. Aakhus  
 Phone: 586-9305  
 Fund type (MOF) N  
 Appropriation Acct. No. S 295 H

Intended Purpose: To assure statewide family planning and related preventive health services, education, counseling and referrals in accordance to Title X Program requirements for all people of reproductive age (primarily low-income and hard-to-reach individuals that are most under-served).

Source of Revenues: U.S. Department of Health & Human Services (DHHS), PHS

Current Program Activities/Allowable Expenses:

- A. Maintain availability, through Purchase of Service (POS) contracts, of affordable, high quality family planning services to those in greatest need and with no other access to preventive medical services (i.e. populations including low-income individuals at-risk of costly unintended pregnancy, and hard-to-reach individuals such as homeless, teens, at-risk youths, males, disabled, substance abusers, etc.).
- B. Implement and evaluate clinical, administrative, financial, and community participation, education and project promotion activities based on Nationally recognized standards of care for family planning and related preventive health services.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: The variance between FY2018-19 Revenues and Expenditures reflects the decrease in the Title X FY 2019 award. Rather than a 12-month award, there was only 9-months. Per the Governor's initiative, no award anticipated for FY20 and beyond.

Financial Data							
	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,459,927	2,459,927	2,459,927	2,459,927	0	0	0
Beginning Cash Balance	308,673	17,999	97	43	0	0	0
Revenues	2,000,489	2,312,874	1,685,465	1,327,497	0	0	0
Expenditures	2,291,163	2,330,776	1,685,518	1,327,540	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	17,999	97	43	0	0	0	0
Encumbrances	412,700	243,813	103,838	0	0	0	0
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2020 Legislature

Department: HEALTH  
 Prog ID(s): HTH 560  
 Name of Fund: Preventive Hlth and Hlth Svcs Block Grant–Sexual Assault Prev Prog  
 Legal Authority: Part A, Title XIX, PHS Act, as amended

Contact Name: William L. Aakhus  
 Phone: 586-9305  
 Fund type (MOF) N  
 Appropriation Acct. No. S 297 H

Intended Purpose: To provide support and coordination of statewide sexual violence prevention education services and to provide technical assistance to increase public awareness of sexual violence on college campuses.

Source of Revenues: DHHS, CDC  
 Current Program Activities/Allowable Expenses:

- A. Manage purchase of service contracts for sexual assault primary prevention services for the general public and/or for selected populations such as youth and young adults to increase awareness of sexual violence and to reduce attitudes that tolerate sexual violence.  
 B. To collaborate and plan with the University of Hawaii systems and their sexual violence prevention task forces to implement effective primary prevention strategies.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: The variance in revenues and expenditures between 2014-15 is due to the fact that the FY 2013 expenditures that were liquidated in FY 2014 is being charged to the S 206 H parent account. Variance in revenues between FY 2015-16 is due to the draw-down of only \$18,578 in grant funds to cover the FY 2015 liquidations. This grant is now under appropriation S 589.

Financial Data							
	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	52,336	52,336	0	0	0	0	0
Beginning Cash Balance	0	787	0	0	0	0	0
Revenues	22,350	16,633	0		0	0	0
Expenditures	21,563	17,420	0		0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	787	0	0	0	0	0	0
Encumbrances	11,612	0	0	0	0	0	0
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							



**Report on Non-General Fund Information**  
for Submittal to the 2020 Legislature

Department: HTH  
 Prog ID(s): HTH 840 FG  
 Name of Fund: Water Pollution Control - Surface Water  
 Legal Authority: PL 92-500, federal Water Pollution Control Act

Contact Name: Nancy Bartter  
 Phone: 586-7567  
 Fund type (MOF) N  
 Appropriation Acct. No. S 298 H

Intended Purpose: Comply with federal mandates of Environmental Protection Agency Clean Water Act that regulates protection of the environment and public health.

Source of Revenues: Federal grant funds from Environmental Protection Agency

Current Program Activities/Allowable Expenses: Administration, program development, technical assistance, permitting, enforcement, disease surveillance and investigation, laboratory capabilities and certification, public participation, data management, training for delegated programs, and regulation of permitted water pollution sources.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: Grant previously had a 2-year budget/project period, but current award has instead been extended, and it has been uncertain as to when a new award period will begin. Ceiling included each year for budgetary purposes, because positions are funded by this grant.

Financial Data							
	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,050,000	4,204,000	5,549,888	2,014,950	7,764,143	1,500,000	1,500,000
Beginning Cash Balance	49,175	47,388	84,524	20,047	184	184	184
Revenues	1,464,707	1,474,271	1,363,260	1,688,400	2,080,418	2,080,418	2,080,418
Expenditures	1,466,494	1,437,135	1,427,737	1,708,263	2,080,418	2,080,418	2,080,418
Transfers							
List each net transfer in/out; list each account number							
	0	0	0	0	0	0	0
Net Total Transfers	0						
Ending Cash Balance	47,388	84,524	20,047	184	184	184	184
Encumbrances	69,490	76,914	115,105	280,098	76,914	76,914	76,914
Unencumbered Cash Balance	NA	NA	NA	NA	NA	NA	NA

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2020 Legislature

Department: HTH  
 Prog ID(s): HTH 840 FG  
 Name of Fund: Water Pollution Control - Monitoring Initiative  
 Legal Authority: PL 92-500, federal Water Pollution Control Act

Contact Name: Nancy Bartter  
 Phone: 586-7567  
 Fund type (MOF) N  
 Appropriation Acct. No. S 299 H

Intended Purpose: Prevent, reduce, and eliminate water pollution.

Source of Revenues: Federal grant funds from Environmental Protection Agency

Current Program Activities/Allowable Expenses: Surface water monitoring, providing public access to monitoring data, and participating in training pertinent to surface water monitoring.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: Current total grant award period was uncertain, but seemed to be changing to a 1-year grant. However, newer information is that it will remain a 5-year award. A large ceiling amount will be needed in FY 20, when the grant will start a new project period. Expenditures vary according to grant workplan. Position will be funded by this grant starting in FY 20, so ceiling will be needed in each year of award period.

<b>Financial Data</b>							
	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	292,000	539,000	0	0	794,606	50,000	50,000
Beginning Cash Balance	8,796	417	35,758	21	1,886	1,886	1,886
Revenues	127,019	151,121	89,925	54,145	151,121	151,121	151,121
Expenditures	132,567	115,780	125,662	52,281	151,121	151,121	151,121
Transfers							
List each net transfer in/out; list each account number							
Various JV	(2,831)						
Net Total Transfers	(2,831)	0					
Ending Cash Balance	417	35,758	21	1,886	1,886	1,886	1,886
Encumbrances	94	216,864	86,000	314,211	0	0	0
Unencumbered Cash Balance	NA	NA	NA	NA	NA	NA	NA

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							